

AML Roundtable XX 2024-2025

Agenda

Second session | 7 November 2024



AML ROUNDTABLE XX 2024-2025

Second Session | 7 November 2024



We are excited to bring you another insightful edition, featuring a distinguished lineup of speakers, including the Regulator and KPMG experts, all committed to addressing key developments in Anti-Money Laundering (AML).

This year's event will open with a keynote address by the FIAU, providing valuable insights into AMLA and the AMLR. Following that, experts from our KPMG AMLA Office will present an in-depth analysis on the Anti-Money Laundering Authority (AMLA) and the Anti-Money Laundering Regulation (AMLR). Our KPMG AMLA Office team will guide us through the significant changes arising from the AMLR, with a particular focus on the impact these changes will have on Malta and the various industries. This session will consist of sharing practical insights, examples and will be engaging with the participants. The KPMG AMLA Office will also explore AMLA's key features and functions. The session will conclude with an explanation of the advantages for obliged entities of partnering with KPMG's AMLA Office and how it integrates with the ECB office in Frankfurt, Germany.

In the second half of the event, we will have a panel discussion on the AMLR and AMLA. This interactive panel will feature the FIAU, KPMG AMLA Office, and KPMG Malta experts.

Given that this is the last AML Roundtable session for the calendar year, we will then conclude the second part of the event by discussing the FIAU's *AML/CFT Supervision Plan 2024 – 2025*. This concluding part of the session will be led by KPMG Malta AML experts.

We encourage you to actively engage throughout the sessions. Please feel free to submit your questions to kla@kpmg.com.mt, and we will address them during the event.

The second session of this series will be held at the Xara Lodge in Rabat on **7 November 2024**. We look forward to your participation and to a productive discussion on this critical topic.

A handwritten signature in blue ink that reads "A. Azzopardi".

Alex Azzopardi
Partner

A handwritten signature in blue ink that reads "Giselle Borg".

Giselle Borg
Partner

A handwritten signature in blue ink that reads "Deborah Cassar".

Deborah Cassar
Associate Director

A handwritten signature in blue ink that reads "Ariane Azzopardi".

Ariane Azzopardi
Director

Agenda

A discussion forum on topical AML issues for MLROs and senior executives in the financial services, corporate services and gaming industries.

Thursday 7 November 2024 at The Xara Lodge (Sqaq Tac Cawla, Triq It-Tigrija, Rabat)

08:30 – 09:00	Coffee & Registration
09:00 – 10:45	Keynote Speech Dr Clara Galdies, Manager, Legal Affairs, FIAU
	AMLA & the AMLR Melissa Van den Broek, Senior Manager, Forensics, KPMG Netherlands Goetz Fischer, Partner, FS Regulatory & Compliance, KPMG AG
10:45 – 11:15	Coffee break
11.15 – 12.30	Panel Discussion on AMLA & the AMLR Melissa Van den Broek, Senior Manager, Forensics, KPMG Netherlands Goetz Fischer, Partner, FS Regulatory & Compliance, KPMG AG Dr Clara Galdies, Manager, Legal Affairs, FIAU Alex Azzopardi, Partner, Risk Consulting Advisory Services, KPMG Malta Deborah Cassar, Associate Director, Risk Consulting Advisory Services, KPMG Malta
	FIAU Supervision Plan 2024-2025 Ariane Azzopardi, Director, Quality & Risk Management, KPMG Malta



Alex Azzopardi

Alex is a Partner, Risk Consulting Advisory, KPMG in Malta. He leads engagements mainly focused on the provision of services in enterprise risk, corporate governance, regulatory compliance (including licensing), AML/CFT and internal audit services across the regulated financial services sectors and other non-regulated businesses. Alex is the Chairman of the IIA - Malta, Fellow of the MIA and a member of ISACA.



Ariane Azzopardi

Ariane Azzopardi is a Director within the Quality and Risk Management function. She has over fifteen years' experience in this field and is the delegate of the Risk Management Partner at KPMG in Malta. She is involved in the implementation and monitoring compliance with quality and risk management policies and procedures in KPMG in Malta also handling compliance and risk management queries, including those related to the Prevention of Money Laundering and Financing of Terrorism (PMLFT) and ethics and independence. She delivers sessions on PMLFT at the KPMG roundtable and also at various other seminars and conferences.

Ariane is the chair of the PMLFT sub-committee at the Malta Institute of Accountants and a committee member of the PMLFT sub-committee at the Institute of Financial Services Practitioners.



Giselle Borg

Giselle is a Partner, Risk Consulting Advisory, KPMG in Malta. She advises firms on governance, risk and compliance matters in the areas of corporate governance, regulatory reporting, risk management, internal audit, anti-money laundering and actuarial (including Solvency II and IFRS 17). Giselle also supports firms in their interaction with Regulators and in optimising their organisational structures for current and future regulatory requirements.



Deborah Cassar

Deborah is an Associate Director, Risk Consulting Advisory - AML Services, at KPMG Malta. Deborah has over ten years' experience within the Financial Services sector, worked for over two years with the FIAU, and has over fourteen years' experience within the AML/CFT field. She has worked extensively in the area of AML/CFT compliance - performing Compliance reviews, as well as assisting subject persons to establish appropriate AML/CFT internal structures. She is a recognised and Certified Anti-Money Laundering Specialist (CAMS) and also completed the Advanced CAMS in Risk Management (CAMS-RM). Deborah is an active member with the Association of Anti-Money Laundering Specialists ("ACAMS"), is also a Co-Founder & Co-Chair of the ACAMS Malta Chapter.



Melissa Van den Broek

Melissa van den Broek PhD LLM CAMS GCSS is senior manager at KPMG Forensic in the Netherlands and active in the area of financial economic crime, with a focus on anti-money laundering, terrorist financing and sanctions for over ten years. Melissa is a regulatory specialist and brings academic, supervisory and financial sector experience together. Among her current activities are supporting financial institutions, trust offices and corporates with their compliance of relevant laws and regulations and providing support to KPMG audit teams on AML/CTF and sanctions matters. Melissa leads KPMG AMLA's Office Monitoring Unit.



Clara Galdies

Dr Clara Galdies joined the Financial Intelligence Analysis Unit in 2019 and presently serves as Manager within the Legal Affairs Section. She actively contributes to the drafting of national AML/CFT legislation and has lead the FIAU's efforts in representing Malta during negotiations on the EU AML/CFT Legislative Package. Her active participation in meetings and working groups organised by key international bodies such as the Egmont Group of FIUs, the European Banking Authority, the European Commission, and the Council of Europe has provided her with valuable insights into international AML/CFT initiatives. With a Master's degree in advocacy and an International Diploma in AML/CFT (ICA), she combines legal expertise with a deep understanding of the AML/CFT regulatory framework.



Goetz Fischer

Götz has 22 years professional experience at KPMG (audit and advisory services) for international and national banks and other financial services institutions. He is the Co-head of a specialist department of >100 experts on regulatory topics including AML and represents KPMG in supervisory expert committees with the Federal Financial Supervisory Authority (BaFin) and the German Institution of Chartered Accountants on Anti Money Laundering. He was seconded for several months to BaFin. Moreover, Götz is an author of numerous publications concerning AML, and regulatory issues and speaker at conferences regarding regulatory issues.



For further details please contact:

Kersten Mallia

Tel: +356 2563 1091

kla@kpmg.com.mt

kpmglearningmalta.com



The information contained herein is of a general nature and is not intended to address the circumstances of any particular individual or entity. Although we endeavour to provide accurate and timely information, there can be no guarantee that such information is accurate as of the date it is received or that it will continue to be accurate in the future. No one should act on such information without appropriate professional advice after a thorough examination of the particular situation.

© 2024 KPMG Learning Academy is a Maltese civil partnership and forms part of KPMG, a Maltese civil partnership and a member firm of the KPMG global organisation of independent member firms affiliated with KPMG International Limited, a private English company limited by guarantee. All rights reserved.

The KPMG name and logo are trademarks used under license by the independent member firms of the KPMG global organisation.